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3 UNITED STATES DISTRICT COURT  
4 EASTERN DISTRICT OF WASHINGTON  
5

6 NATALIE Y. FRICKE, )  
7 Plaintiff, ) No. CV-11-15-JPH  
8 v. ) ORDER GRANTING DEFENDANT'S  
9 MICHAEL J. ASTRUE, Commissioner ) MOTION FOR SUMMARY JUDGMENT  
10 of Social Security, )  
11 Defendant. )  
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13 **BEFORE THE COURT** are cross-motions for summary judgment noted  
14 for hearing without argument June 18, 2012, ECF Nos. 12, 14.  
15 Attorney Lora Lee Stover represents plaintiff; Special Assistant  
16 United States Attorney Lisa Goldoftas represents the Commissioner  
17 of Social Security (Defendant). The parties have consented to the  
18 magistrate judge's jurisdiction, ECF No. 5. After reviewing the  
19 administrative record and the briefs filed by the parties, the  
20 court **GRANTS** Defendant's Motion for Summary Judgment, ECF No. 14.

21 **JURISDICTION**

22 Plaintiff protectively applied for supplemental security  
23 income (SSI) benefits on February 13, 2007, alleging onset as of  
24 January 28, 2002 (Tr. 197-204). The application was denied  
25 initially and on reconsideration (Tr. 167-171, 174-175).

26 Plaintiff, represented by counsel, and a medical expert  
27 testified at a hearing before Administrative Law Judge (ALJ) Gene  
28 Duncan on October 6, 2008 (Tr. 128-164). On October 29, 2008, the

1 ALJ issued an unfavorable decision (Tr. 114-122). Plaintiff sought  
2 Appeals Council review and submitted additional evidence, which  
3 the Appeals Council received and made part of the record. The  
4 Appeals Council denied review on November 15, 2010, noting that  
5 "this information does not provide a basis for changing the  
6 Administrative Law Judge's decision," and "[t]his new information  
7 is about a later time" (Tr. 1-5). Because the Appeals Council  
8 denied review, the ALJ's decision became the final decision of the  
9 Commissioner pursuant to 42 U.S.C. § 405(g). Plaintiff filed an  
10 action for judicial review on January 12, 2011 (ECF No. 1, 4).

#### 11 **STATEMENT OF FACTS**

12 The facts have been presented in the administrative hearing  
13 transcript, the ALJ's decision, and the parties' briefs. They are  
14 very briefly summarized here.

15 Plaintiff was 39 years old at the hearing. She earned a GED  
16 and has no past relevant work, having last worked in 1991 (Tr.  
17 130-131, 297-208). Plaintiff smokes. She wakes up nauseated and is  
18 in pain all the time; she can sit for no more than 25 minutes. She  
19 feels her worst health problems are the lower back and hip bones.  
20 In 2002 she had surgery for two different cancerous lesions (Tr.  
21 132-133, 135, 152-153). Plaintiff has bouts of incontinence. She  
22 uses a nebulizer three times a day for breathing problems (Tr.  
23 137-139). She takes prescribed medication for anxiety (Tr. 148).  
24 She has shopped with help, cooked easy meals for herself and her  
25 three year old, and drives very little (Tr. 150, 154).

#### 26 **SEQUENTIAL EVALUATION PROCESS**

27 The Social Security Act (the Act) defines disability as the  
28 "inability to engage in any substantial gainful activity by reason

1 of any medically determinable physical or mental impairment which  
2 can be expected to result in death or which has lasted or can be  
3 expected to last for a continuous period of not less than twelve  
4 months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). The Act also  
5 provides that a Plaintiff shall be determined to be under a  
6 disability only if any impairments are of such severity that a  
7 plaintiff is not only unable to do previous work but cannot,  
8 considering plaintiff's age, education and work experiences,  
9 engage in any other substantial gainful work which exists in the  
10 national economy. 42 U.S.C. §§ 423(d)(2)(A), 1382c(a)(3)(B).  
11 Thus, the definition of disability consists of both medical and  
12 vocational components. *Edlund v. Massanari*, 253 F.3d 1152, 1156  
13 (9<sup>th</sup> Cir. 2001).

14 The Commissioner has established a five-step sequential  
15 evaluation process for determining whether a person is disabled.  
16 20 C.F.R. §§ 404.1520, 416.920. Step one determines if the person  
17 is engaged in substantial gainful activities. If so, benefits are  
18 denied. 20 C.F.R. §§ 404.1520(a)(4)(i), 416.920(a)(4)(i). If not,  
19 the decision maker proceeds to step two, which determines whether  
20 plaintiff has a medically severe impairment or combination of  
21 impairments. 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii).

22 If plaintiff does not have a severe impairment or combination  
23 of impairments, the disability claim is denied. If the impairment  
24 is severe, the evaluation proceeds to the third step, which  
25 compares plaintiff's impairment with a number of listed  
26 impairments acknowledged by the Commissioner to be so severe as to  
27 preclude substantial gainful activity. 20 C.F.R. §§  
28 404.1520(a)(4)(ii), 416.920(a)(4)(ii); 20 C.F.R. § 404 Subpt. P

1 App. 1. If the impairment meets or equals one of the listed  
2 impairments, plaintiff is conclusively presumed to be disabled.  
3 If the impairment is not one conclusively presumed to be  
4 disabling, the evaluation proceeds to the fourth step, which  
5 determines whether the impairment prevents plaintiff from  
6 performing work which was performed in the past. If a plaintiff is  
7 able to perform previous work, that plaintiff is deemed not  
8 disabled. 20 C.F.R. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). At  
9 this step, plaintiff's residual functional capacity (RFC)  
10 assessment is considered. If plaintiff cannot perform this work,  
11 the fifth and final step in the process determines whether  
12 plaintiff is able to perform other work in the national economy in  
13 view of plaintiff's residual functional capacity, age, education  
14 and past work experience. 20 C.F.R. §§ 404.1520(a)(4)(v),  
15 416.920(a)(4)(v); *Bowen v. Yuckert*, 482 U.S. 137 (1987).

16 The initial burden of proof rests upon plaintiff to establish  
17 a *prima facie* case of entitlement to disability benefits.  
18 *Rhinehart v. Finch*, 438 F.2d 920, 921 (9<sup>th</sup> Cir. 1971); *Meanel v.*  
19 *Apfel*, 172 F.3d 1111, 1113 (9<sup>th</sup> Cir. 1999). The initial burden is  
20 met once plaintiff establishes that a physical or mental  
21 impairment prevents the performance of previous work. The burden  
22 then shifts, at step five, to the Commissioner to show that (1)  
23 plaintiff can perform other substantial gainful activity and (2) a  
24 "significant number of jobs exist in the national economy" which  
25 plaintiff can perform. *Kail v. Heckler*, 722 F.2d 1496, 1498 (9<sup>th</sup>  
26 Cir. 1984).

#### 27 STANDARD OF REVIEW

28 Congress has provided a limited scope of judicial review of a

1 Commissioner's decision. 42 U.S.C. § 405(g). A Court must uphold  
2 the Commissioner's decision, made through an ALJ, when the  
3 determination is not based on legal error and is supported by  
4 substantial evidence. *See Jones v. Heckler*, 760 F.2d 993, 995 (9<sup>th</sup>  
5 Cir. 1985); *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9<sup>th</sup> Cir. 1999).  
6 "The [Commissioner's] determination that a plaintiff is not  
7 disabled will be upheld if the findings of fact are supported by  
8 substantial evidence." *Delgado v. Heckler*, 722 F.2d 570, 572 (9<sup>th</sup>  
9 Cir. 1983)(citing 42 U.S.C. § 405(g)). Substantial evidence is  
10 more than a mere scintilla, *Sorenson v. Weinberger*, 514 F.2d 1112,  
11 1119 n. 10 (9<sup>th</sup> Cir. 1975), but less than a preponderance.  
12 *McAllister v. Sullivan*, 888 F.2d 599, 601-602 (9<sup>th</sup> Cir. 1989);  
13 *Desrosiers v. Secretary of Health and Human Services*, 846 F.2d  
14 573, 576 (9<sup>th</sup> Cir. 1988). Substantial evidence "means such  
15 evidence as a reasonable mind might accept as adequate to support  
16 a conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971)  
17 (citations omitted). "[S]uch inferences and conclusions as the  
18 [Commissioner] may reasonably draw from the evidence" will also be  
19 upheld. *Mark v. Celebrezze*, 348 F.2d 289, 293 (9<sup>th</sup> Cir. 1965). On  
20 review, the Court considers the record as a whole, not just the  
21 evidence supporting the decision of the Commissioner. *Weetman v.*  
22 *Sullivan*, 877 F.2d 20, 22 (9<sup>th</sup> Cir. 1989)(quoting *Kornock v.*  
23 *Harris*, 648 F.2d 525, 526 (9<sup>th</sup> Cir. 1980)).

24 It is the role of the trier of fact, not this Court, to  
25 resolve conflicts in evidence. *Richardson*, 402 U.S. at 400. If  
26 evidence supports more than one rational interpretation, the Court  
27 may not substitute its judgment for that of the Commissioner.  
28 *Tackett*, 180 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579

1 (9<sup>th</sup> Cir. 1984). Nevertheless, a decision supported by substantial  
2 evidence will still be set aside if the proper legal standards  
3 were not applied in weighing the evidence and making the decision.  
4 *Browner v. Secretary of Health and Human Services*, 839 F.2d 432,  
5 433 (9<sup>th</sup> Cir. 1987). Thus, if there is substantial evidence to  
6 support the administrative findings, or if there is conflicting  
7 evidence that will support a finding of either disability or  
8 nondisability, the finding of the Commissioner is conclusive.  
9 *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9<sup>th</sup> Cir. 1987).

10 The Commissioner's regulations permit claimants to submit new  
11 and material evidence to the Appeals Council and require the  
12 Council to consider that evidence in determining whether to review  
13 the ALJ's decision, so long as the evidence relates to the period  
14 on or before the ALJ's decision. *Brewes v. Commissioner of Social*  
15 *Sec. Admin*, \_\_ F.3d \_\_, 2012 WL 2149465 C.A.9 (Or.) at 3, 2012.  
16 "If new and material evidence is submitted, the Appeals Council  
17 shall consider the additional evidence only where it relates to  
18 the period on or before the date of the administrative law judge  
19 hearing decision." *Id.*, n. 3, citing 20 C.F.R. § 404.970(b).

20 When the Appeals Council considers new evidence in deciding  
21 whether to review a decision of the ALJ, that evidence becomes  
22 part of the administrative record which the district court must  
23 consider when reviewing the Commissioner's final decision for  
24 substantial evidence. *Id.* at 4; see also *Tackett v. Apfel*, 180  
25 F.3d 1094, 1097-98 (9<sup>th</sup> Cir. 1999).

#### 26 ALJ'S FINDINGS

27 At step one the ALJ found plaintiff did not engage in  
28 substantial gainful activity after applying for benefits on

1 February 13, 2007 (Tr. 116). At step two, he found she has the  
2 following medically determinable impairments: skin cancer lesions;  
3 chronic obstructive pulmonary disease (COPD); reflux esophagitis;  
4 and lumbar spine degeneration (*Id.*). At step two he found although  
5 these impairments are medically determinable, they are not severe  
6 as defined by the applicable regulations (*Id.*). Accordingly, the  
7 ALJ found plaintiff was not disabled during the relevant period as  
8 defined by the Social Security Act (Tr. 122).

#### 9 ISSUES

10 Plaintiff alleges if the ALJ properly weighed the medical  
11 evidence he would have found her impairments are severe and her  
12 residual functional capacity is limited. She also alleges the  
13 Appeals Council erred by failing to "remand this claim for further  
14 consideration" (ECF No. 13 at 9). The Commissioner answers that  
15 the ALJ's decision is free of harmful error and should be  
16 affirmed. The Commissioner asserts the Appeals Council did not err  
17 because the newly submitted evidence related to events after the  
18 ALJ's decision, and plaintiff's remedy (if she is now able to  
19 prove a disabling impairment based on the newer evidence) is  
20 filing another application for benefits (ECF No. 15 at 4, 15).

#### 21 DISCUSSION

##### 22 A. Weighing medical evidence

23 In social security proceedings, the claimant must prove the  
24 existence of a physical or mental impairment by providing medical  
25 evidence consisting of signs, symptoms, and laboratory findings;  
26 the claimant's own statement of symptoms alone will not suffice.  
27 20 C.F.R. § 416.908. The effects of all symptoms must be evaluated  
28 on the basis of a medically determinable impairment which can be

1 shown to be the cause of the symptoms. 20 C.F.R. § 416.929. Once  
2 medical evidence of an underlying impairment has been shown,  
3 medical findings are not required to support the alleged severity  
4 of symptoms. *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9<sup>th</sup> Cir.  
5 1991).

6 A treating physician's opinion is given special weight  
7 because of familiarity with the claimant and the claimant's  
8 physical condition. *Fair v. Bowen*, 885 F.2d 597, 604-605 (9<sup>th</sup> Cir.  
9 1989). However, the treating physician's opinion is not  
10 "necessarily conclusive as to either a physical condition or the  
11 ultimate issue of credibility." *Magallanes v. Bowen*, 881 F.2d 747,  
12 751 (9<sup>th</sup> Cir. 1989)(citations omitted). More weight is given to a  
13 treating physician than an examining physician. *Lester v. Chater*,  
14 81 F.3d 821, 830 (9<sup>th</sup> Cir. 1995). Correspondingly, more weight is  
15 given to the opinions of treating and examining physicians than to  
16 nonexamining physicians. *Benecke v. Barnhart*, 379 F.3d 587, 592  
17 (9<sup>th</sup> Cir. 2004). If the treating or examining physician's opinions  
18 are not contradicted, they can be rejected only with clear and  
19 convincing reasons. *Lester*, 81 F.3d at 830. If contradicted, the  
20 ALJ may reject an opinion if he states specific, legitimate  
21 reasons that are supported by substantial evidence. See *Flaten v.*  
22 *Secretary of Health and Human Serv.*, 44 F.3d 1453, 1463 (9<sup>th</sup> Cir.  
23 1995).

24 In addition to the testimony of a nonexamining medical  
25 advisor, the ALJ must have other evidence to support a decision to  
26 reject the opinion of a treating physician, such as laboratory  
27 test results, contrary reports from examining physicians, and  
28 testimony from the claimant that was inconsistent with the



1 treating physician's opinion. *Magallanes*, 881 F.2d at 751-752;  
2 *Andrews v. Shalala*, 53 F.3d 1035, 1042-1043 (9<sup>th</sup> Cir. 1995).

3 Plaintiff alleges if the ALJ had properly credited MRI  
4 results, CHAS clinic records, and the opinions of Drs. Hahn,  
5 Nielsen, and Colquhoun, he would have found she has severe mental  
6 and physical impairments (ECF No. 13 at 13-15). On appeal she does  
7 not challenge the ALJ's credibility assessment.

8 **B. Step two**

9 In social security proceedings, the claimant must prove the  
10 existence of a physical or mental impairment by providing medical  
11 evidence consisting of signs, symptoms, and laboratory findings;  
12 the claimant's own statement of symptoms alone will not suffice.  
13 20 C.F.R. § 416.908. The effects of all symptoms must be evaluated  
14 on the basis of a medically determinable impairment which can be  
15 shown to be the cause of the symptoms. 20 C.F.R. § 416.929. Once  
16 medical evidence of an underlying impairment has been shown,  
17 medical findings are not required to support the alleged severity  
18 of symptoms. *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9<sup>th</sup> Cir.  
19 1991).

20 An impairment or combination of impairments may be found "not  
21 severe only if the evidence establishes a slight abnormality that  
22 has no more than a minimal effect on an individual's ability to  
23 work." *Webb v. Barnhart*, 433 F.3d 683, 686-687 (9<sup>th</sup> Cir. 2005),  
24 citing *Smolen v. Chater*, 80 F.3d 1273, 1290 (9<sup>th</sup> Cir. 1996); see  
25 also *Yuckert v. Bowen*, 841 F.2d 303, 306 (9<sup>th</sup> Cir. 1988). If an  
26 adjudicator is unable to determine clearly the effect of an  
27 impairment or combination of impairments on the individual's  
28 ability to do basic work activities, the sequential evaluation

1 should not end with the not severe evaluation step. S.S.R. No. 85-  
2 28. Step two, then is "a de minimus screening device [used] to  
3 dispose of groundless claims," *Smolen*, 80 F.3d at 1290, and an ALJ  
4 may find that a claimant lacks a medically severe impairment or  
5 combination of impairments only when his conclusion is "clearly  
6 established by medical evidence." S.S.R. No. 85-28. The question  
7 on review is whether the ALJ had substantial evidence to find that  
8 the medical evidence clearly established that the claimant did not  
9 have a medically severe impairment or combination of impairments.  
10 *Webb*, 433 F.3d at 678; see also *Yuckert*, 841 F.2d at 306.

11 *Mental impairments*

12 Plaintiff alleges three sources support finding she has a  
13 severe mental impairment. First, "Dr. Colquhoun's records reflect  
14 her anxiety regarding cancer." (ECF No. 13 at 14). Dr. Colquhoun  
15 noted plaintiff appeared visibly anxious prior to a colonoscopy in  
16 February 2004 (Tr. 268, duplicated at 292). After the procedure he  
17 observed:

18 In conclusion, this is a normal macroscopic study. It is  
19 certainly possible that this patient has a dysmotility  
20 disorder. In the time I've known her, she has been subject  
21 to panic attacks, and every new symptom that occurs suggests  
22 to her that she has an incurable malignancy. It is difficult  
23 to sort through what non-prescription medications she uses  
24 as she remains quite evasive regarding what herbal and other  
25 remedies she takes. Be that as it may, this was a very good  
26 study, with very good preparation, and no serious untreated  
27 problems were apparent.

28 (Tr. 295)(italics original).

The test results showed minimal reflux esophagitis (Tr. 264).

Second, without citation to the record, plaintiff alleges  
"records of the CHAS Clinic reflect that she has a psychosis" (ECF  
No. 13 at 14). Citing C.F.R. § 416.913(a), the Commissioner  
correctly observes the Court is not required to consider

1 unsupported allegations (ECF No. 15 at 10). There are no  
2 psychological test results in the record. In any event the record  
3 does not support plaintiff's allegation.

4 In April 2006 a CHAS clinic physician's assistant noted the  
5 history given by plaintiff has no confirmation in the medical  
6 record. He assessed mood swings, confirmed with previous clinic  
7 and ER notes, and "possible psychosis NOS." He recommended further  
8 psychological evaluation (Tr. 372-374). On August 13, 2007,  
9 another clinic PA listed affective psychosis NOS (Tr. 386). Again  
10 in November 2007, a clinic nurse indicated plaintiff's chronic  
11 conditions include "affective psychosis NOS" (Tr. 353). The  
12 "diagnosis" is repeated elsewhere in the clinic's notes (see e.g.,  
13 Tr. 356-364, 389, 392).

14 This is insufficient. Plaintiff fails to show that acceptable  
15 medical sources established she had a medically determinable  
16 mental impairment, and that it was supported by medical signs and  
17 laboratory findings such as psychological tests. 20 C.F.R. §§  
18 416.913(a), 416.928(b), (c); S.S.R. No. 06-03p, S.S.R. No. 96-4p  
19 at \*1.

20 Third, plaintiff alleges medical expert Dr. Nielsen's comment  
21 "as to her psychological complaints" helps establish that she has  
22 a severe mental impairment, and the ALJ had a duty to accept his  
23 testimony (ECF No. 13 at 14-15). With respect to Dr. Nielsen's  
24 testimony, the ALJ notes

25 "After reviewing the medical evidence of record, Dr. Nielsen  
26 testified that the claimant has no severe impairment. . . Based  
27 upon the records, she has some pain behaviors but he cannot  
28 comment on psychiatric conditions."

1 (Tr. 120).

2 The ALJ is correct. Dr. Nielsen admitted he is "not competent  
3 to comment on her psychiatric condition" (Tr. 159).

4 Deborah Baldwin, Ph.D., saw plaintiff six times between  
5 November 2007 and February 2008, and once more in September 2008.  
6 Although she opined plaintiff suffers from post-traumatic stress  
7 disorder (PTSD) and psychological factors associated with medical  
8 conditions, the ALJ points out she assessed a GAF of 65 to 70  
9 indicating only mild symptoms or difficulty (Tr. 120, 437).

10 *Credibility*

11 To aid in weighing the conflicting evidence, the ALJ also  
12 evaluated plaintiff's credibility and found her less than credible  
13 (Tr. 118). Credibility determinations bear on evaluations of  
14 medical evidence when an ALJ is presented with conflicting medical  
15 opinions or an inconsistency between a claimant's subjective  
16 complaints and diagnosed condition. *Webb v. Barnhart*, 433 F.3d  
17 683, 688 (9<sup>th</sup> Cir. 2005).

18 It is the province of the ALJ to make credibility  
19 determinations. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9<sup>th</sup> Cir.  
20 1995). However, the ALJ's findings must be supported by specific  
21 cogent reasons. *Rashad v. Sullivan*, 903 F.2d 1229, 1231 (9<sup>th</sup> Cir.  
22 1990). Once the claimant produces medical evidence of an  
23 underlying medical impairment, the ALJ may not discredit testimony  
24 as to the severity of an impairment because it is unsupported by  
25 medical evidence. *Reddick v. Chater*, 157 F.3d 715, 722 (9<sup>th</sup> Cir.  
26 1998). Absent affirmative evidence of malingering, the ALJ's  
27 reasons for rejecting the claimant's testimony must be "clear and  
28 convincing." *Lester v. Chater*, 81 F.3d 821, 834 (9<sup>th</sup> Cir. 1995).

1 "General findings are insufficient: rather the ALJ must  
2 identify what testimony is not credible and what evidence  
3 undermines the claimant's complaints." *Lester*, 81 F.3d at 834;  
4 *Dodrill v. Shalala*, 12 F.3d 915, 918 (9<sup>th</sup> Cir. 1993).

5 The ALJ relied on significant gaps in treatment, which  
6 suggest "that the symptoms may not have been as serious as has  
7 been alleged" (Tr. 121). Plaintiff was treated for "severe"  
8 constipation in 2004 and did not follow up with recommendations.  
9 The ALJ notes she did not return until September 2007 (Tr. 118,  
10 339, 343). Drug seeking behavior has been suggested by several  
11 sources, and she is noted to be erratic, impulsive, non-  
12 cooperative and not forthcoming, often leaving before being  
13 treated (Tr. 119). See e.g., Tr. 265-266 (upset given no pain  
14 medication and wants new PCP and GI specialist); Tr. 367-368 (in  
15 2004 plaintiff is "very angry," multiple episodes noted of being  
16 in ER and dissatisfied with providers who do not give her  
17 narcotics, and overheard in clinic saying "If I wanted narcotics,  
18 I could go to the ER, cause I do that all the time"); Tr. 304-305  
19 (in 2005, wants pain medication for lesions but refuses tissue  
20 diagnosis); and Tr. 327-329 (in 2007, claims has cancer and wants  
21 pain medication; because records show no cancer plaintiff possibly  
22 engaging in drug seeking behavior).

23 Dr. Nielsen opined after reviewing the record, plaintiff has  
24 had multiple evaluations for virtually everything and all studies  
25 have been entirely normal (Tr. 120, referring to Tr. 157).

26 The new evidence submitted to the AC is similar. Dr. Hahn,  
27 for example, saw plaintiff on June 17, 2009, for a variety of  
28 complaints including neck and back pain since about 2006, but she

1 "has had no physical therapy or other treatment" (Tr. 99).  
2 Plaintiff returned to Dr. Hahn ten months later, in April 2010.  
3 Plaintiff had failed to follow up with ENT evaluation despite Dr.  
4 Hahn's referral. Dr. Hahn notes plaintiff had been taking  
5 prescribed narcotic pain medication for almost two years despite  
6 minimal test results (Tr. 82-83). In April 2010 plaintiff's PCP  
7 Pamela Vecchio, ARNP, ordered an ultrasound of right lymph nodes;  
8 on June 11, 2010, she notes plaintiff failed to follow through for  
9 testing (Tr. 48, 52).

10 Plaintiff's testimony and statements have been inconsistent  
11 with her conduct, and she has engaged in apparent drug seeking  
12 behavior<sup>1</sup>. Both diminish credibility. *Thomas v. Barnhart*, 278 F.3d  
13 947, 958-959 (9<sup>th</sup> Cir. 2002); *Edlund v. Massanari*, 253 F.3d 1152,  
14 1157-1158 (9<sup>th</sup> Cir. 2001). An "unexplained, or inadequately  
15 explained, failure to seek treatment or follow a prescribed course  
16 of treatment" can also cast doubt on a claimant's sincerity. *Fair*  
17 *v. Bowen*, 885 F.2d 597, 603 (9<sup>th</sup> Cir. 1989).

18 Plaintiff fails to establish she suffers from a severe mental  
19 impairment.

20 *Physical impairment*

21 Plaintiff alleges the "medical records support that [she] has  
22 had complaints of severe pain." (ECF No. 13 at 13). Citing  
23 *Carmickle v. Comm'r of Soc. Sec. Admin*, 533 F3d 1155, 1161 n.2 (9<sup>th</sup>  
24 Cir. 2008), the Commissioner asserts the argument is made without

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25  
26 <sup>1</sup>Interestingly, plaintiff told a treating doctor in August  
27 2005 nausea has improved since the use of marijuana (Tr. 428).  
28 She said the same thing to another treating doctor in July 2006.  
He declined prescribing narcotics (Tr. 380-382). Plaintiff  
testified she was *recently* prescribed medical marijuana and  
smokes it twice daily (Tr. 144; 439).

1 any specificity, meaning the court need not address it (ECF No. 15  
2 at 13). The Commissioner is correct. However, even if plaintiff  
3 cited to the record, this argument fails. Complaints of pain by  
4 themselves do not establish a medically determinable or severe  
5 impairment. As the ALJ's credibility determination is fully  
6 supported, he was not required to credit unreliable complaints.

7 Moreover, the record supports the ALJ's finding that even  
8 plaintiff's four medically determinable impairments are mild and  
9 have no more than a minimal effect on the ability to work. *Skin*  
10 *cancer lesions*. The ALJ found skin cancer lesions medically  
11 determinable, but not severe. Cancerous lesions were removed years  
12 ago, and have not returned, as Dr. Nielsen testified. Plaintiff  
13 has called various growths "cancerous lumps" and tumors, but  
14 providers opine there is no evidence supporting these claims  
15 (e.g., Tr. 222, 327, 329, 374, 416). *COPD*. Plaintiff's COPD is  
16 very sporadically mentioned in the record (e.g., Tr. 361) and  
17 found mild on testing (post hearing, Tr. 540). *Reflux esophagitis*  
18 is determinable, but tests in 2004 and 2007 were minimal or normal  
19 (Tr. 119, 264, 331). *Lumbar spine degeneration*. CHAS clinic  
20 records show plaintiff is very abrupt and vague in describing her  
21 back pain and focused on getting narcotic treatment for her  
22 "cancer" pain," as the ALJ points out (Tr. 119, citing Ex. 11F).

23 The ALJ's step two determination that plaintiff's physical  
24 impairments are not severe is fully supported by the record.

### 25 **C. New evidence**

26 To the extent the new evidence submitted for the first time  
27 to the Appeals Council is not relevant to the period at issue, it  
28 is not material to this review. 20 C.F.R. § 404.970(b). Plaintiff

1 has not shown that the new evidence was material, as the record  
2 lacks evidence that the conditions alleged were present at and  
3 before the ALJ hearing. The hearing was held October 6, 2008, with  
4 disability alleged as of January 28, 2002. Plaintiff does not  
5 demonstrate the "reasonable possibility" that conditions diagnosed  
6 from November 2009 through August 2010 even existed when the ALJ  
7 hearing was held in October 2008. See *Mayes v. Massanari*, 276 F.3d  
8 453, 462 (9<sup>th</sup> Cir. 2001). This is especially true when, as in this  
9 case, extensive physical test results (including MRIs prior to the  
10 hearing, *i.e.*, Tr. 444-446) were all essentially normal, as Dr.  
11 Nielsen testified.

12 If the new evidence shows there is a reasonable possibility  
13 that it would change the outcome of the ALJ's determination, then  
14 remand is appropriate to allow the ALJ to consider the evidence.  
15 However, if the substantial weight of the evidence is irrefutably  
16 clear that the claimant is disabled, then a remand for benefits is  
17 appropriate. *Mayes v. Massanari*, 276 F.3d 453, 462 (9<sup>th</sup> Cir. 2001)  
18 (*italics added*).

19 The new evidence here consists of repair of a small umbilical  
20 hernia and excision of two benign soft tissue masses about three  
21 weeks after the hearing (Tr. 520), and an MRI after the hearing.  
22 This does not establish a reasonable possibility the evidence  
23 would change the outcome of the ALJ's decision. Remand is  
24 therefore not required.

25 The Court notes the decision of the Appeals Council to grant  
26 or deny review is not reviewable by this court, because it is not  
27 a final decision. *Brewes v. Comm'r of Social Sec. Admin.*, \_\_ F.3d  
28 \_\_, 2012 WL 2149465 C.A.9 (Or.), 2012 at \*7, citing *Taylor v.*



1 *Comm'r of Soc. Sec. Admin.*, 659 F.3d 1228, 1231 (9<sup>th</sup> Cir. 2011); 42  
2 U.S.C. §§ 405(g), 1383(c)(3).

3 The Court's review of the record indicates the new evidence  
4 is not material because it relates to a period after the hearing.  
5 Nor has plaintiff shown good cause for failing to obtain the  
6 evidence before the hearing. Even so, the court has considered the  
7 new evidence. It is largely consistent with the record before the  
8 ALJ. To the extent plaintiff may now be able to establish an  
9 impairment or combination of impairments that has significantly  
10 limited (or is expected to significantly limit) her ability to  
11 perform basic work-related activities for 12 consecutive months,  
12 see 20 C.F.R. § 416.921, her remedy is filing a new application  
13 for benefits. *Osenbrock v. Apfel*, 240 F.3d 1157, 1164 n.1 (9<sup>th</sup> Cir.  
14 2000), citing *Sanchez v. Secretary of HHS*, 812, F.2d 509, 512 (9<sup>th</sup>  
15 Cir. 1987).

#### 16 CONCLUSION

17 Having reviewed the record and the ALJ's conclusions, this  
18 court finds the ALJ's decision is free of legal error and  
19 supported by substantial evidence.  
20 Accordingly,

#### 21 IT IS ORDERED:

22 1. Defendant's Motion for Summary Judgment, **ECF No. 14**, is  
23 **GRANTED**.

24 2. Plaintiff's Motion for Summary Judgment, **ECF No. 12**, is  
25 **DENIED**.

26 The District Court Executive is directed to file this Order,  
27 provide copies to the parties, enter judgment in favor of  
28 Defendant, and **CLOSE** this file.

1 DATED this 25th day of June, 2012.

2 s/ James P. Hutton  
3 JAMES P. HUTTON  
4 UNITED STATES MAGISTRATE JUDGE  
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